



ERNEST ORLANDO LAWRENCE
BERKELEY NATIONAL LABORATORY

OFFICE OF THE LABORATORY COUNSEL
Patent Department

DATE: June 17, 2004

One Cyclotron Road
MS 90B0104
Berkeley, CA 94720-8127
(510) 486-7058 phone
(510) 486-7896 fax

MAIL STOP 16
DIRECTOR OF THE US PATENT AND TRADEMARK OFFICE
PO BOX 1450
ALEXANDRIA, VA 22313-1450

Re: Refund Request – Application Number – 10/777,947, Deposit Account 120690

On February 11, 2004 Mr. Joseph Milner filed a Utility Patent Application (see copy of fee sheet attached). Our USPTO Monthly Statement of Deposit Account dated 2-27-04 indicates a duplicate charge of \$43 for independent claims (control numbers 79 and 107; docket number IB-1842). We request that the amount of \$43 be refunded to our account as soon as possible.

Sincerely,

Sharon Kay Reineman
Admin. Asst. – Patent Department
Lawrence Berkeley National Laboratory
M/S 90B0104

2004 JUL -7 PM 12:05

PATENT MAINTENANCE
DIVISION-1



UNITED STATES
PATENT AND
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MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

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MAR 17 2004

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Account No. 120690
Date 2-27-04
Page 1

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Chicago, IL 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
1	2	3	04	254	10656848	IB-1826A	8021	40.00 ✓ 23898.00
2	2	6	04	4	09652493	IB-1398	2252	205.00 ✓ 23693.00
3	2	6	04	6	09652493	IB-1398	2401	165.00 ✓ 23528.00
4	2	13	04	17	09652493	IB-1398	2402	165.00 ✓ 23363.00
5	2	13	04	127	60543139	IB-1897P1	2005	80.00 ✓ 23283.00
6	2	17	04	16	60526301	IB-1473P	8021	40.00 ✓ 23243.00
7	2	19	04	58	10232502	IB-1700	2501	665.00 ✓ 22578.00
8	2	19	04	59	10232502	1	1504	300.00 ✓ 22278.00
9	2	19	04	60	10232502	8001	30.00 ✓ 22248.00	
10	2	19	04	78	10777947	IB-1842	2001	385.00 ✓ 21863.00
11	2	19	04	79	10777947	IB-1842	2201	43.00 ✓ 21820.00
12	2	19	04	80	10777947	IB-1842	2202	117.00 ✓ 21703.00
13	2	19	04	107	10777947	IB-1842	2201	43.00 ✓ 21660.00
14	2	20	04	194	PCT/US04/04400	IB-1842PCT	1601	300.00 ✓ 21360.00
15	2	20	04	195	PCT/US04/04400	IB-1842PCT	1603	300.00 ✓ 21060.00
16	2	20	04	196	PCT/US04/04400	IB-1842PCT	1702	1035.00 ✓ 20025.00
17	2	20	04	197	PCT/US04/04400	IB-1842PCT	8007	20.00 ✓ 20005.00
18	2	23	04	115	60526301	IB-1473P	2052	25.00 ✓ 19980.00
19	2	23	04	234	10323136	IB-1656	1501	1330.00 ✓ 18650.00
20	2	23	04	235	10323136	IB-1656	1504	300.00 ✓ 18350.00
21	2	23	04	236	10323136	IB-1656	8001	30.00 ✓ 18320.00
22	2	25	04	2	60545814	IB-1725P1	2005	80.00 ✓ 18240.00
23	2	25	04	12	PCT/US03/40975	IB-1857PCT	8007	20.00 ✓ 18220.00
24	2	26	04	57	10783231	IB-1855	2001	385.00 ✓ 17835.00
25	2	26	04	58	10783231	IB-1855	2202	99.00 ✓ 17736.00
26	2	26	04	90	10701655	IB-1852	8021	40.00 ✓ 17696.00
27	2	26	04	424	10272280	IB-1609	2501	665.00 ✓ 17031.00
28	2	26	04	425	10272280	IB-1609	1504	300.00 ✓ 16731.00
29	2	26	04	426	10272280	IB-1609	8001	30.00 ✓ 16701.00
AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT					OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE
					23938.00	7237.00	0.00	16701.00

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PTO/SB/17 (10-03)

Approved for use through 07/31/2008. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL
for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 545.00)

Complete if Known

Application Number	Not Yet Assigned
Filing Date	February 11, 2004
First Named Inventor	Barry M. Freifeld
Examiner Name	Not Yet Assigned
Art Unit	Not Yet Assigned
Attorney Docket No.	IB-1842

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:
 Deposit Account Number: 120690
 Deposit Account Name: Lawrence Berkeley National Laboratory

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	385.00
1002 340	2002 170	Design filing fee	
1003 630	2003 285	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			385.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	33	Extra Claims	13	Fee from below	9	Fee Paid	117
Independent Claims	4	- 3** =	1	X	43		43
Multiple Dependent							

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 88	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 96	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 160.00)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 130	Non-English specification	
1812 2,520	2112 2,520	For filing a request for ex parte reexamination	
1804 920*	2104 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	2105 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	2451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1480 130	2480 130	Petitions to the Commissioner	
1807 50	2807 50	Processing fee under 37 CFR 1.17(q)	
1808 180	2808 180	Submission of Information Disclosure Stmt	
8021 40	2801 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	2802 900	Request for expedited examination of a design application	

Other fee (specify):

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type)	Joseph Ray Milner	Registration No. (Attorney/Agent)	42,896	Telephone	510-486-4672
Signature	Joseph Ray Milner	Date	February 11, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1480. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE RECD	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/777,947	02/11/2004	2882	588	IB-1842	3	33	5

CONFIRMATION NO. 5349

08076

LAWRENCE BERKELEY NATIONAL LABORATORY
 ONE CYCLOTRON ROAD, MAIL STOP 90B
 UNIVERSITY OF CALIFORNIA
 BERKELEY, CA 94720

FILING RECEIPT



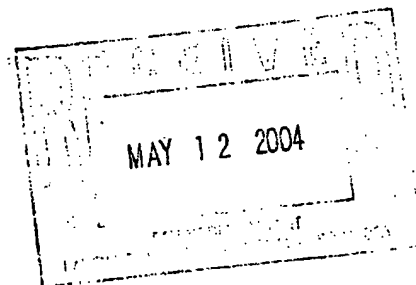
OC000000012581087

Date Mailed: 05/10/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Barry M. Freifeld, Oakland, CA;
 Timothy J. Kneafsey, Albany, CA;
 Jacob Pruess, Berkeley, CA;
 Liviu Tomutsa, Hercules, CA;
 Paul A. Reiter, Berkeley, CA;
 Ted M. De Castro, Castro Valley, CA;



Assignment For Published Patent Application

The Regents of the University of California, Oakland, CA;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/447,496 02/12/2003

Response Calendar Jan
 Attorney Initials Jan
 File: IB- 1842

Foreign Applications

If Required, Foreign Filing License Granted: 05/10/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ******Title**

Portable imaging system method and apparatus

Preliminary Class

378

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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